

6/1/2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sarkozy, et al.

Attorney Docket: STO-05RI

Filed: 04/12/2001

Examiner: TBD

Serial No.: 09/832,812

Art Unit: 2185



#5
108
2/23/02
JC

For: Apparatus and Method for Storing Data with Selectable Data Protection Using Mirroring and Selectable Parity Inhibition

INFORMATION DISCLOSURE

STATEMENT

February 7, 2002

Assistant Commissioner For Patents
Washington, DC 20231

MAILING CERTIFICATE

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Natalie D. Swider 2/7/02
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FEB 14 2002

Dear Sir:
Technology Center 2100

Applicants wish to bring to the attention of the Patent and Trademark Office the information noted on the enclosed PTO-1449 forms, which may be considered material to the examination of the above-identified application.

To provide the Examiner with a context for reviewing the references, Applicants have split the references into three separate PTO-1449 forms, organized as follows:

- (1) PTO-1449 A (16 references) – references cited during Patent Owner's patent licensing negotiations and litigation (although not necessarily for the pending claims);
- (2) PTO-1449 B (8 references) – references cited during prosecution of the original 5,893,919 patent; and
- (3) PTO-1449 C (134 references) – references cited during prosecution of the Patent Owner's other patent applications.

No fee is believed due at this time because this Information Disclosure Statement is being filed before the mailing of the first Office Action. In the event that there are any fees due, please charge the same, or credit any overpayment, to Deposit Account No. 50-1065.

If the Examiner should have any questions, Applicants request that the Examiner contact Applicants' attorney at the address below.

Respectfully submitted,



Brian A. Carlson
Attorney for Applicant
Reg. No. 37,793

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
		Application Number	09/832,812
		Filing Date	04/12/2001
		First Named Inventor	SARKOZY, et al.
		Group Art Unit	2185
		Examiner Name	TBD
		Attorney Docket Number	STO-05RI
INFORMATION DISCLOSURE STATEMENT BY APPLICANT A <i>(use as many sheets as necessary)</i>			
Sheet	1	of	2



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Attorney Docket Number

STO-05RI

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	5,301,297		Menon et al.	04/05/1994	
	2	5,392,244		Jacobson et al.	02/21/1995	
	3	5,469,453		Glider et al.	11/21/1995	
	4	5,524,204		Verdoorn, Jr.	06/04/1996	
	5	5,659,704		Burkes et al.	08/19/1997	RECEIVED
	6	5,699,503		Bolosky et al.	12/16/1997	FEB 14 2002
	7	5,774,641		Islam et al.	06/30/1998	Technology Center 2100
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	9	6,076,142		Corrington et al.	06/13/2000	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Application Number	09/832,812
Filing Date	04/12/2001
First Named Inventor	SARKOZY, et al. FEB 07 2002 PATENT & TRADEMARK OFFICE
Group Art Unit	2185
Examiner Name	TBD
Attorney Docket Number	STO-05RI

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	10	"Method for Background Parity Update in a Redundant Array of Inexpensive Disks (RAID)", IBM Technical Disclosure Bulletin, Oct. 1992, pp. 139-141.	
	11	"Host Operation Precedence with Parity Update Groupings for Raid Performance", IBM Technical Disclosure Bulletin, Mar. 1993, pp. 431-433.	
	12	"Parity Preservation for Redundant Array of Independent Direct Access Storage Device Data Loss Minimization and Repair", IBM Technical Disclosure Bulletin, Mar. 1993, pp. 473-478.	
	13	"LRAID: Use of Log Disks for an Efficient RAID Design", IBM Technical Disclosure Bulletin, Feb. 1994, pp. 19-20.	
	14	"Hybrid Redudcancy [sic] Direct-Access Storage Device Array with Design Options", IBM Technical Disclosure Bulletin, Feb. 1994, pp. 141-148.	
	15	"Performance Efficient Multiple Logical Unit Number Mapping for Redundant Array of Independent Disks", IBM Technical Disclosure Bulletin, May 1996, pp. 273-274.	
	16	WILKES, ET AL, "The HP AutoRAID Hierarchical Storage System", ACM Transactions on Computer Systems, Feb. 1996, Vol. 14, No. 1.	
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Group Art Unit	2185
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Sheet

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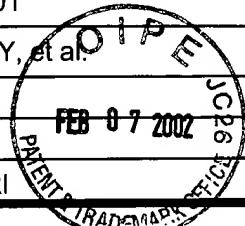
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STO-05RI



OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	Massiglia, Paul, ed., "The RAIDbook, a Source Book for Disk Array Technology, 4 th ed.," 08/08/1994, pp. 3-22, 117-153, The RAID Advisory Board, St. Peter, MN	
	4	DEVOE, DEBORAH, "Vendors to unveil RAID storage systems." Storage Dimensions' SuperFlex 3000, Falcon Systems' ReelTime, (Brief Article), 03/25/1996, pp. 42(1), v18 n13, InfoWorld Publishing Company 1996.	
	5	"HP's Smart Auto-RAID Backup Technology" Newsbytes, 08/04/1995, pNEW08040012, Newsbytes Inc. 1995.	
	6	CROWTHERS, EDWARD and LEADER, JOE, "RAID technology advances to the next level," Computer Technology Review, 03/1996, pp. 46, v16 n3.	
	7	"Fault-Tolerant Storage for Non-Stop Networks," Storage Dimensions, 08/15/1995, pp.42-43.	
	8	"Super Flex 3000 Provides Dynamic Growth," InfoWorld, Product Review, 06/17/1996, v18 n25 pp.N13.	
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Sheet

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		Number	Kind Code ² (if known)			
	1	3,449,718		Woo	06/10/1969	
	2	3,876,978		Bossen, et al.	04/08/1975	
	3	4,044,328		Herff	08/23/1977	
	4	4,228,496		Katzman, et al.	10/14/1980	
	5	4,410,942		Milligan, et al.	10/18/1983	
	6	4,425,615		Swenson, et al.	01/10/1984	RECEIVED
	7	4,433,388		Oosterbaan	02/21/1984	<i>FEB 14 2002</i>
	8	4,467,421		White	08/21/1984	
	9	4,590,559		Baldwin, et al.	05/20/1986	<i>Technology Center 2100</i>
	10	4,636,946		Hartung, et al.	01/13/1987	
	11	4,644,545		Gershenson	02/17/1987	
	12	4,656,544		Yamanouchi	04/07/1987	
	13	4,722,085		Flora, et al.	01/26/1988	
	14	4,817,035		Timsit	03/28/1989	
	15	4,849,929		Timsit	07/18/1989	
	16	4,849,978		Dishon, et al.	07/18/1989	
	17	4,903,218		Longo, et al.	02/20/1990	
	18	4,933,936		Rasmussen, et al.	06/12/1990	

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Application Number	09/832,812
Filing Date	04/12/2001
First Named Inventor	SARKOZY, et al.
Group Art Unit	2185
Examiner Name	TBD

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Sheet 2 of 10

Attorney Docket Number STO-05RI

19	4,934,823	Okami	06/19/1990	
20	4,942,579	Goodlander, et al.	04/17/1990	
21	4,993,030	Krakauer, et al.	02/12/1991	
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23	5,072,378	Manka	12/10/1991	
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34	5,398,253	Gordon	03/14/1995	
35	5,412,661	Hao, et al.	05/02/1995	
36	5,416,915	Mattson, et al.	05/16/1995	
37	5,418,921	Cortney, et al.	05/23/1995	
38	5,420,998	Horning	05/30/1995	
39	5,423,046	Nunnelley, et al.	06/06/1995	

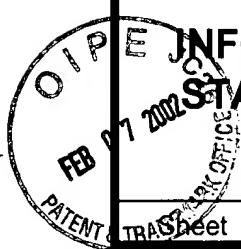
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Sheet

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Application Number	09/832,812
Filing Date	04/12/2001
First Named Inventor	SARKOZY, et al.
Group Art Unit	2185
Examiner Name	TBD
Attorney Docket Number	STO-05RI

	40	5,440,716		Schultz, et al.	08/08/1995	
	41	5,483,419		Kaczeus, Sr., et al.	01/09/1996	
	42	5,495,607		Pisello, et al.	02/27/1996	
	43	5,499,337		Gordon	03/12/1996	
	44	5,519,831		Holzhammer	05/21/1996	
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	46	5,530,829		Beardsley, et al.	06/25/1996	
	47	5,530,845		Hiatt, et al.	06/25/1996	
	48	5,537,534		Voigt, et al.	07/16/1996	RECEIVED
	49	5,537,567		Galbraith, et al.	07/16/1996	FEB 14 2002
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	51	5,542,065		Burkes, et al.	07/30/1996	
	52	5,551,002		Rosich, et al.	08/27/1996	
	53	5,551,003		Mattson, et al.	08/27/1996	
	54	5,564,116		Arai, et al.	10/08/1996	
	55	5,568,628		Satoh, et al.	10/22/1996	
	56	5,572,659		Iwasa, et al.	11/05/1996	
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	58	5,581,726		Tanaka	12/03/1996	
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	60	5,586,291		Lasker, et al.	12/17/1996	

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Sheet

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	61	5,615,353	Lautzenheiser	03/25/1997	
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	63	5,632,027	Martin, et al.	05/20/1997	
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	67	5,689,678	Stallmo, et al.	11/18/1997	
	68	5,696,934	Jacobson, et al.	12/09/1997	
	69	5,720,027	Sarkozy, et al.	02/17/1998	
	70	5,732,238	Sarkozy	03/24/1998	RECEIVED
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	72	5,758,074	Marlin, et al.	05/26/1998	FEB 14 2002
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	74	5,790,774	Sarkozy	08/04/1998	
	75	5,794,229	French, et al.	08/11/1998	
	76	5,944,789	Tzelnic, et al.	08/31/1999	
	77	6,098,128	Velez-McCaskey, et al.	08/01/2000	

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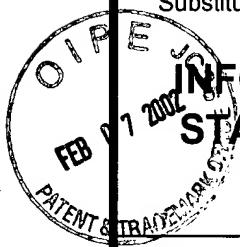
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Attorney Docket Number	STO-05RI

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
78	EP	0201330 A			Thinking Machines Corp.	11/12/1986		
79	EP	0274817 A			Harness	07/20/1988		
80	GB	2086625 A			Western Electric	05/12/1982		
81	JP	56-074807 A			Hitachi Seisakusho K.K.	06/20/1981	with English Abstract	
82	JP	61-62920 A			Hitachi LTD.	03/31/1986	with English Abstract	
83	JP	59-085564			Ricoh Co LTD	05/17/1984	with English Abstract	
84	JP	63-278132			Matsushita Graphic Commun Syst Inc	11/15/1988	with English Abstract	
85	JP	57-185554			Toshiba Corp.	11/15/1982	with English Abstract	
86	JP	02-148125			Yokogawa Medical Syst LTD	06/07/1990	with English Abstract	
87	JP	60-254318			Toshiba Corp	12/16/1985	with English Abstract	

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Complete if Known

Application Number	09/832,812
Filing Date	04/12/2001
First Named Inventor	SARKOZY, et al.
Group Art Unit	2185
Examiner Name	TBD
Attorney Docket Number	STO-05RI

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	88	MASSIGLIA, PAUL, "RAID Levels – Yesterday's Yardstick," Computer Technology Review, April 1996, pp. 42.	
	89	PATTERSON, DAVID A.; GIBSON, GARTH; and KATZ, RANDY H., "A Case for Redundant Arrays of Inexpensive Disks (RAID), Report No. UCB/CSD 87/391, December 1987.	
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	91	KIM, MICHELLE Y., "Synchronized Disk Interleaving," IEEE Trans. on Computers, Vol. C-35, No. 11, Nov. 1986.	
	92	"LANser MRX100: Intelligent Disk Subsystem" Sanyo Icon, 1992, (2 pages).	RECEIVED
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	94	"LANser MRX500: Intelligent Disk Subsystem" Sanyo Icon, 1992, (2 pages).	
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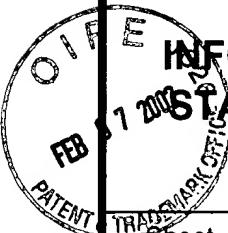
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103	La VIOLETTE, P., et al., "MCU architecture facilitates disk controller design" (whole article), Wescon Proceedings, San Francisco, 19 th -22 nd November 1985, vol. 29, pp. 1-9, New York, USA
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	120	Digital Technical Journal stating how the DEC VAX Cluster System works, No. 8, February 1989, p. 25.	
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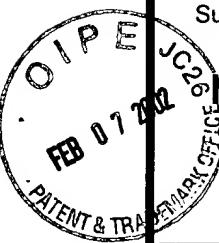
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